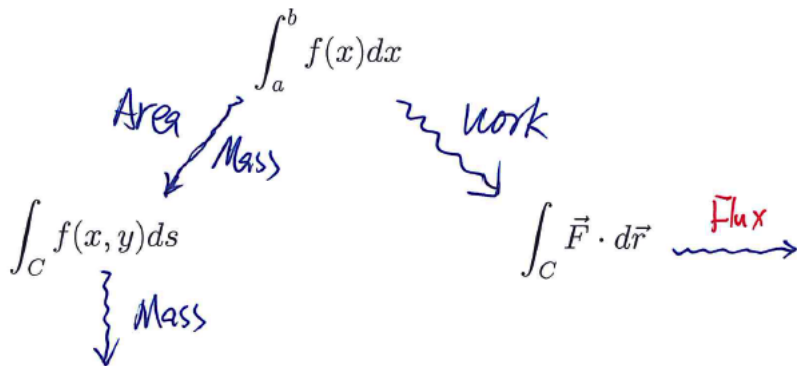


§4.5 Flux through a surface – Surface Integrals

Review: From single variable integral to line integrals.



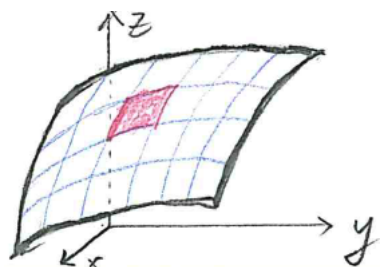
Definition.

We define the **surface integral of $f(x, y, z)$ over the surface S**

$$\iint_S f(x, y, z) dS = \lim_{m,n \rightarrow \infty} \sum_{i=1}^m \sum_{j=1}^n f(P_{ij}^*) \Delta S_{ij}$$

Each area of the patch ΔS_{ij} can be computed by

$$\Delta S_{ij} \approx |\vec{r}_u \times \vec{r}_v| \Delta u \Delta v.$$



Theorem.

Suppose a surface S is defined by $\vec{r}(u, v)$. The surface integral can be computed by

$$\iint_S f(x, y, z) dS = \iint_D f(\vec{r}(u, v)) |\vec{r}_u \times \vec{r}_v| dA$$

In particular, if $f = 1$, then

$$\iint_S 1 dS = \iint_D |\vec{r}_u \times \vec{r}_v| dA = \text{Area of } S$$

Example 1. Compute the surface integral $\iint_S xz \, dS$, where S is the sphere defined by $x^2 + y^2 + z^2 = 1$ in the first octant.

parametric equation :

$$\begin{aligned} x &= \sin \phi \cos \theta & 0 \leq \phi \leq \pi/2 \\ y &= \sin \phi \sin \theta & \\ z &= \cos \phi & 0 \leq \theta \leq \pi/2 \end{aligned}$$

$$|\vec{r}_\phi \times \vec{r}_\theta| = \sin \phi \quad (\text{By Example 5 in section 3.11})$$

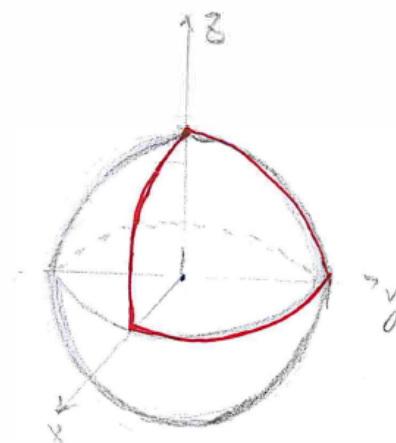
$$\iint_S xz \, dS = \iint_D \sin \phi \cos \phi \cos \theta |\vec{r}_\phi \times \vec{r}_\theta| \, dA$$

$$= \int_0^{\pi/2} \int_0^{\pi/2} \sin^2 \phi \cos \phi \cos \theta \, d\phi \, d\theta$$

$$= \int_0^{\pi/2} \cos \theta \, d\theta \int_0^{\pi/2} \sin^2 \phi \cos \phi \, d\phi$$

$$= \sin \theta \Big|_0^{\pi/2} \frac{\sin^3 \phi}{3} \Big|_0^{\pi/2}$$

$$= \frac{1}{3}$$



If the surface S has an equation $z = g(x, y)$, then $\vec{r}(x, y) = \langle x, y, g(x, y) \rangle$, so

$$\iint_S f(x, y, z) dS = \iint_D f(x, y, g(x, y)) \sqrt{\left(\frac{\partial z}{\partial x}\right)^2 + \left(\frac{\partial z}{\partial y}\right)^2 + 1} dA$$

Similarly, if the surface S has an equation $y = h(x, z)$, then $\vec{r}(x, y) = \langle x, h(x, z), z \rangle$, so

$$\iint_S f(x, y, z) dS = \iint_D f(x, h(x, z), z) \sqrt{\left(\frac{\partial y}{\partial x}\right)^2 + \left(\frac{\partial y}{\partial z}\right)^2 + 1} dA$$

Similarly, if the surface S has an equation $x = h(y, z)$, you can write down formula for the surface integral.

Theorem.

If S is a union of S_1 and S_2 , then

$$\iint_S f(x, y, z) dS = \iint_{S_1} f(x, y, z) dS + \iint_{S_2} f(x, y, z) dS$$



Example 2. Evaluate the surface integral $\iint_S x \, dS$, where S is the surface $z = x^2 + y$ for $0 \leq x \leq 1$ and $0 \leq y \leq 2$.

$$\frac{\partial z}{\partial x} = 2x \quad \frac{\partial z}{\partial y} = 1$$

$$\iint_S x \, dS = \iint_D x \sqrt{(2x)^2 + 1^2 + 1} \, dA$$

$$= \int_0^2 \int_0^1 x \sqrt{4x^2 + 2} \, dx \, dy$$

$$= \int_0^2 dy \int_0^1 x \sqrt{4x^2 + 2} \, dx$$

$$= 2 \cdot \frac{1}{12} (4x^2 + 2)^{\frac{3}{2}} \Big|_0^1$$

$$= \frac{1}{6} (6^{\frac{3}{2}} - 2^{\frac{3}{2}})$$

$$= \sqrt{6} - \frac{\sqrt{2}}{3}$$

$$\text{Let } u = 4x^2 + 2$$

$$du = 8x \, dx$$

$$\frac{du}{8} = x \, dx$$

$$\int x \sqrt{4x^2 + 2} \, dx$$

$$= \int u^{\frac{1}{2}} \cdot \frac{1}{8} \, du$$

$$= \frac{1}{8} \cdot \frac{u^{\frac{3}{2}}}{\frac{3}{2}} + C$$

$$= \frac{1}{12} u^{\frac{3}{2}} + C$$

$$= \frac{1}{12} (4x^2 + 2)^{\frac{3}{2}} + C$$

Example 3. Evaluate the surface integral $\iint_S y \, dS$, where S is the boundary surface of the solid region E enclosed by the cylinder $x^2 + y^2 = 1$, the plane $z = 0$ and the plane $z = 1 + y$.

$$\iint_S y \, dS = \iint_{S_1} y \, dS + \iint_{S_2} y \, dS + \iint_{S_3} y \, dS$$

(1) S_1 : $x = \cos \theta$ $y = \sin \theta$ $z = z$

$$\vec{r}_\theta \times \vec{r}_z = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{vmatrix} = \langle \cos \theta, \sin \theta, 0 \rangle$$

$$|\vec{r}_\theta \times \vec{r}_z| = \sqrt{\cos^2 \theta + \sin^2 \theta} = 1$$

$$\iint_{S_1} y \, dS = \iint_D y \cdot |\vec{r}_\theta \times \vec{r}_z| \, dA = \iint_D y \, dA$$

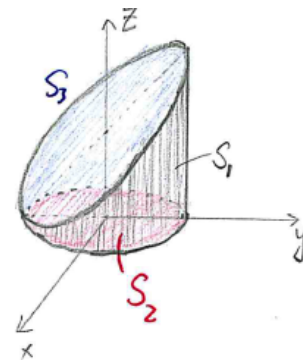
$$= \int_0^{2\pi} \int_0^{1+\sin \theta} \sin \theta \, dz \, d\theta$$

$$= \int_0^{2\pi} \sin \theta (1 + \sin \theta) \, d\theta$$

$$= \int_0^{2\pi} \sin \theta \, d\theta + \int_0^{2\pi} \sin^2 \theta \, d\theta$$

$$= 0 + \int_0^{2\pi} \frac{1}{2} (1 - \cos 2\theta) \, d\theta$$

$$= \frac{1}{2} \theta - \frac{1}{4} \sin 2\theta \Big|_0^{2\pi} = \pi$$



$$(2) S_2 \quad \underline{x^2 + y^2 \leq 1 \quad z=0}$$

$$x = \cos\theta \quad y = \sin\theta \quad z = z \quad \begin{array}{l} 0 \leq \theta \leq 2\pi \\ z=0 \end{array}$$

$$\vec{r}_\theta \times \vec{r}_z = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ -\sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{vmatrix} = \langle \cos\theta, \sin\theta, 0 \rangle$$

$$|\vec{r}_\theta \times \vec{r}_z| = 1 \quad \iint_{S_2} y \, dS = \iint_D y \, dA = \int_0^{2\pi} \int_0^1 \sin\theta \, dz \, d\theta = 0$$

$$(3) S_3 \quad z = 1 + y$$

$$\iint_{S_3} y \, dS = \iint_D y \sqrt{\left(\frac{\partial z}{\partial x}\right)^2 + \left(\frac{\partial z}{\partial y}\right)^2 + 1} \, dA$$

$$= \iint_D \sqrt{2} y \, dA$$

$$= \int_0^{2\pi} \int_0^1 \sqrt{2} r \sin\theta \cdot r \, dr \, d\theta$$

$$= \sqrt{2} \int_0^{2\pi} \sin\theta \, d\theta \cdot \int_0^1 r^2 \, dr$$

$$= 0$$

$$D = \{(x, y) \mid x^2 + y^2 \leq 1\}$$

$$= \{(r, \theta) \mid 0 \leq r \leq 1, 0 \leq \theta \leq 2\pi\}$$

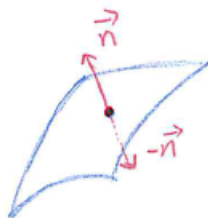
by polar system

$$x = r \cos\theta$$

$$y = r \sin\theta$$

Orientable Surfaces S :

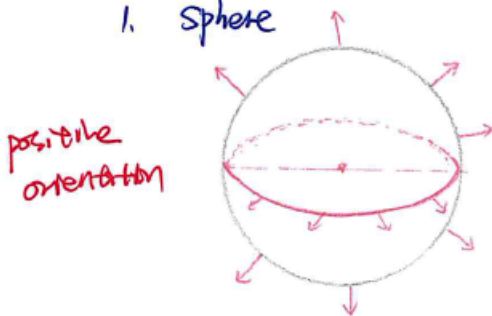
Def: • It is possible to choose a unit normal vector \vec{n} at every point (x, y, z) on S such that \vec{n} varies continuous over S .



- The choice of \vec{n} provide S an orientation.
- There are two orientations on each orientable surfaces.

Examples (orientable)

1. sphere



2. cylinder



Example (not orientable)

Möbius strip



Surface integral of vector fields:

Let \vec{F} be a continuous vector field defined on an oriented surface S with unit normal vector \vec{n} .

Definition.

The **surface integral of \vec{F} over S** is defined as

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_S \vec{F} \cdot \vec{n} \, dS$$

This integral is called the **flux of \vec{F} across(through) S** .

Here,

$$\vec{n} = \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|} \quad \text{and} \quad dS = |\vec{r}_u \times \vec{r}_v| dA.$$

Theorem.

The surface integral of \vec{F} over S can also be computed by

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_D \vec{F} \cdot (\vec{r}_u \times \vec{r}_v) \, dA$$

Theorem.

If the surface S is defined by $z = g(x, y)$, then the surface integral of \vec{F} over S can also be computed by

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_D \left(-P \frac{\partial g}{\partial x} - Q \frac{\partial g}{\partial y} + R \right) dA$$

Example 4. Find the surface integral of the vector field $\vec{F} = \langle z, y, x \rangle$ across the sphere $x^2 + y^2 + z^2 = 1$.

parametric equation: $x = \sin\phi \cos\theta$ $y = \sin\phi \sin\theta$ $z = \cos\phi$ $0 \leq \phi \leq \pi$
 $0 \leq \theta \leq 2\pi$

$$\vec{r}_\phi \times \vec{r}_\theta = \langle \sin^2\phi \cos\theta, \sin^2\phi \sin\theta, \sin\phi \cos\phi \rangle$$

$$\vec{F}(\vec{r}(\phi, \theta)) \cdot (\vec{r}_\phi \times \vec{r}_\theta)$$

$$= \cos\phi \sin^2\phi \cos\theta + \sin^3\phi \sin^2\theta + \sin^2\phi \cos\phi \cos\theta$$

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_D \vec{F}(\vec{r}) \cdot (\vec{r}_\phi \times \vec{r}_\theta) dA$$

$$= \int_0^{2\pi} \int_0^\pi 2 \sin^2\phi \cos\phi \cos\theta + \sin^3\phi \sin^2\theta d\phi d\theta$$

$$= 2 \int_0^\pi \sin^2\phi \cos\phi d\phi \cdot \int_0^{2\pi} \cos\theta d\theta + \int_0^\pi \sin^3\phi d\phi \cdot \int_0^{2\pi} \sin^2\theta d\theta$$

$$= 2 \left. \frac{\sin^3\phi}{3} \right|_0^\pi \cdot \sin\theta \Big|_0^{2\pi} + \int_0^\pi (1 - \cos^2\phi) \sin\phi d\phi \cdot \pi$$

$$= 0 + \left(-\cos\phi + \frac{\cos^3\phi}{3} \right) \Big|_0^\pi (\pi)$$

$$= \frac{4}{3}\pi$$

Example 5. Find the surface integral of the vector field $\vec{F} = \langle x, y, z \rangle$ across the sphere $x^2 + y^2 + z^2 = 4$.

$$x = 2 \sin \phi \cos \theta \quad y = 2 \sin \phi \sin \theta \quad z = 2 \cos \phi \quad 0 \leq \phi \leq \pi$$

$$0 \leq \theta \leq 2\pi$$

$$\begin{aligned} \vec{F}(\mathcal{P}) \cdot (\vec{r}_\phi \times \vec{r}_\theta) &= 8 \sin^3 \phi \cos^2 \theta + 8 \sin^3 \phi \sin^2 \theta + 8 \sin \phi \cos^3 \theta \\ &= 8 \sin^3 \phi + 8 \sin \phi \cos^2 \theta \end{aligned}$$

$$\iint_S \vec{F} d\vec{S} = \iint_D \vec{F}(\mathcal{P}) \cdot (\vec{r}_\phi \times \vec{r}_\theta) dA$$

$$= \int_0^{2\pi} \int_0^\pi 8 \sin^3 \phi + 8 \sin \phi \cos^2 \theta d\phi d\theta$$

$$= 8 \int_0^{2\pi} \sin^3 \phi d\phi \int_0^\pi d\theta + 8 \int_0^{2\pi} \sin \phi d\phi \int_0^\pi \cos^2 \theta d\theta$$

$$= 8 \cdot \frac{4}{3} \cdot \pi + 0$$

$$= \frac{32}{3} \pi.$$

Example 6. Find the surface integral of the vector field $\vec{F} = \langle x, y, z \rangle$ across the paraboloid $z = -1 + x^2 + y^2$ and the plane $z = 0$.

Denote the paraboloid $z = -1 + x^2 + y^2$ as S_1 and denote the plane $z = 0$ as S_2 .

$$\iint_{S_1} \vec{F} d\vec{S} = \iint_D (-P \frac{\partial z}{\partial x} - Q \frac{\partial z}{\partial y} + R) dA$$

$$= \iint_D (-2x^2 - 2y^2 + (-1 + x^2 + y^2)) dA$$

$$= \iint_D (-1 - x^2 - y^2) dA$$

$$D: x^2 + y^2 \leq 1$$

polar system

$$= \int_0^{2\pi} \int_0^1 (-1 - r^2) \cdot r dr d\theta$$

$$x = r \cos \theta$$

$$y = r \sin \theta$$

$$= \int_0^{2\pi} d\theta \int_0^1 -r - r^3 dr$$

$$= 2\pi \left(-\frac{r^2}{2} - \frac{r^4}{4} \right) \Big|_0^1$$

$$= -\frac{3\pi}{2}$$

$$\iint_{S_2} \vec{F} d\vec{S} = \iint_D R dA = \iint_D z dA = 0$$

$$\iint_S \vec{F} d\vec{S} = \iint_{S_1} \vec{F} d\vec{S} + \iint_{S_2} \vec{F} d\vec{S} = -\frac{3\pi}{2}$$